



## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/801,221	03/07/2001	Paul Sanberg	C14-135

CONFIRMATION NO. 5403

## FORMALITIES LETTER



\*0C000000005481140\*

 COLEMAN SUDOL SAPONE, P.C.  
 PATENT, TRADEMARK AND COPYRIGHT MATTERS  
 14th Floor  
 708 Third Avenue  
 NEW YORK, NY 10017

Date Mailed: 08/27/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

## Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 06/25/2001 to the Notice to File Missing Parts (Notice) mailed 06/06/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.


- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice **MUST** be returned with the reply.

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

09801221.091301



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Sanberg, et al.  
Serial No. : 09/801,221  
Filed : March 7, 2001  
For : Human Cord Blood As a Source of Neural Tissue for Repair of the Brain and Spinal Cord

Examiner : NYA

Art Unit : NYA

Assistant Commissioner for Patents  
Washington, D.C. 20231

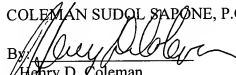
**Transmittal of Formal Drawings**

S I R:

Pursuant to the Notice of Incomplete Reply, Applicants enclose a copy of the two executed declarations previously submitted as well formal drawings to address the requirement to submit formal drawings. A surcharge has already been filed and a two month extension of time is also enclosed as is a check in the amount of \$195.00 (small entity).

Respectfully submitted,

COLEMAN SUDOL SABONE, P.C.

By:   
Henry D. Coleman  
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Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: "Commissioner for Patents, Washington, D.C. 20231" on September 10, 2001.

  
Henry D. Coleman